

IRW

Docket No.: 49480-053

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



Re Application of	:	Customer Number: 20277
David P. RAMER, et al.	:	Confirmation Number: 2502
Serial No.: 10/786,096	:	Group Art Unit: 2875
Filed: February 26, 2004	:	Examiner: not yet assigned
For: CONSTRUCTIVE OCCLUSION WITH A TRANSMISSIVE COMPONENT	:	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Informatin Disclosure Statement  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

To ensure that documents are available to the Examiner, we are providing copies of the cited foreign documents and the cited literature. Copies of the listed US Patents are not enclosed, as they are available on-line, but can be provided if requested by the Examiner. Please note US 5,733,028,


10/786,096

US 6,334,700 and US 6,286,979 are listed in the specification on page 1 and 2. Acknowledgement and consideration of all of the documents listed on the PTO 1449 are respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: July 30, 2004**



SHEET 1 OF 2

INFORMATION DISCLOSURE  
CITATION IN AN  
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
**49480-053**SERIAL NO.  
**10/786,096**APPLICANT  
**David P. RAMER, et al.**FILING DATE  
**February 26, 2004**GROUP  
**2875**

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code2 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 6,043,873	03/28/2000	Ramer et al.	
		US 5,705,804	01/06/1998	Ramer et al.	
		US 5,877,490	03/02/1999	Ramer et al.	
		US 5,914,487	06/22/1999	Ramer et al.	
		US 5,733,028	03/31/1998	Ramer et al.	
		US 5,773,819	06/30/1998	Ramer et al.	
		US 5,886,351	03/23/1999	Ramer et al.	
		US 5,877,849	03/02/1999	Ramer et al.	
		US 6,064,061	05/16/2000	Ramer et al.	
		US 6,007,225	12/28/1999	Ramer et al.	
		US 5,967,652	10/19/1999	Ramer et al.	
		US 6,088,091	07/11/2000	Ramer et al.	
	B2	US 6,334,700	01/01/2002	Ramer et al.	
	B1	US 6,238,077	05/29/2001	Ramer et al.	
	B1	US 6,286,979	09/11/2001	Ramer et al.	
	B1	US 6,342,695	01/29/2002	Ramer et al.	
	B1	US 6,266,136	07/24/2001	Ramer et al.	
	B2	US 6,700,112	03/02/2004	Brown	
		US 5,282,117	01/25/1994	Fritts	
		US 5,839,823	11/24/1998	Hou et al.	
	B1	US 6,231,208	05/15/2001	Dalton et al.	
	B1	US 6,416,201	07/09/2002	Strand et al.	
		US 4,112,483	09/05/1978	Small, Jr. et al.	
		US 4,388,675	06/14/1983	Lewin	
		US 4,937,716	06/26/1990	Whitehead	
		US 5,384,658	01/24/1995	Ohtake et al.	
	B1	US 6,280,052	08/28/2001	White	
	B1	US 6,364,505	04/02/2002	Shoenfeld	
	B2	US 6,644,830	11/11/2003	Lewis et al.	

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code3-Number 4-Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
		JP 2000-214311 with English abstract	080482000	Toppan Printing Co. Ltd.		Yes	No
		JP 2003-223805 with English abstract	08/08/2003	Fuji Electric Co. Ltd.			

Continue to sheet 2

**Sheet 2 of 2**

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"FINELITE INTRODUCES" SERIES 14
		"SERIES 14 SPECIFICATIONS", Series 14 Order Specification, Page 1 -2, <a href="http://www.finelite.com/prodinfo/s14/s14specs.htm">http://www.finelite.com/prodinfo/s14/s14specs.htm</a> , 7/23/2004
		"SERIES 14 WALL MOUNT SPECIFICATIONS" Series 14 Wall Mount Order Specification, Page 1 – 2, <a href="http://www.finelite.com/prodinfo/s14/s14WMspecs.htm">http://www.finelite.com/prodinfo/s14/s14WMspecs.htm</a> , 7/23/2004
		"INTRODUCTION SERIES 14" Series 14 Product Introduction, Page 1-2, for immediate release union city, CA, May 30, 2003
		"14 WALL MOUNT PRODUCT INFORMATION SERIES", Series 14 Product Information, Page 1-4
		"FINELITE", Read the Label, Finelite, Inc., 30300 Whipple Road, Union City, CA 94587-1525
		"FINELITE, BETTER LIGHTING FOR A BETTER WORKPLACE", Series 14
		"FINELITE, BETTER LIGHTING FOR A BETTER WORKPLACE", Series 14, White Cross Blade, Round Perf Concave Shield, Square Perf Concave Shield
		"FINELITE", Series 14 WCF – T5HO Photometric Reports
		"FINELITE", Series 14 – Technical Sheet
		"FINELITE", Series 14 Wall Mount – Technical Sheet
		"FINELITE INTRODUCES SERIES 15"
		"INTRODUCING SERIES 15, FOR IMMEDIATE RELEASE, Union City, CA, November 3, 2003
		"SERIES 15 PRODUCT INFORMATION", Product Features, Page 1 – 4
		"INTRODUCING SERIES 15", Union City, CA – November 3, 20003, Page 1 – 2, 11/10/2003
		"APPGUIDE, Finelite Series 15 – T8/T5-HO", Lighting Application Guide
		"FINELITE, BETTER LIGHTING FOR A BETTER WORKPLACE", Series 15
		"SERIES 15 SPECIFICATIONS" Series 15 Order Apecifications Page 1-2, 12/16/2003
		"FINELITE", Series 15 – Technical Sheet
		"IESNA:LM-63-1995" (Test) 18033
		"IESNA:LM-63-1995" (Test) 18057
		"IESNA:LM-63-1995" (Test) 18100
		"IESNA:LM-63-1995" (Test) 18056
EXAMINER		DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.